

Docket No. CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8) Applicant(s): WENBING YUN ET AL. LBL-IB-1498-1 **Group Art Unit** Examiner Serial No. Filing Date 1765 **AUGSUT 9, 2001** 09/927,428 Invention: METHOD FOR NANOMACHINING HIGH ASPECT RATIO STRUCTURES I hereby certify that this Combined Declaration and Power of Attorney (Page 1 thru 5) (Identify type of correspondence) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on November 14, 2001 (Date) JOHN P. O'BANION (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) Note: Each paper must have its own certificate of mailing.

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PATENT

Applicant:

WENBING YUN; JOHN SPENCE; HOWARD A. PADMORE; ALASTAIR A.

MacDOWELL; MALCOLM R. HOWELLS

Serial No.:

09/927,428

Filed: Title: AUGUST 9, 2001

Group:

METHOD FOR NANOMACHINING HIGH ASPECT RATIO STRUCTURES

Examiner: Docket No.

LBL-IB-1498-1

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, SUPPLEMENTAL, NATIONAL STAGE OF PCT, DIVISIONAL. CONTINUATION OR CONTINUATION-IN-PART)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

NOTE: If the declaration is for an International Application being filed as a divisional, continuation, or continuation-in-part, do not

This declaration is of the	following type:
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X original (regular)

design

supplemental-continuation of PCT Application in the U.S.

check the next item; check appropriate one of last three items.

national stage of PCT

__ divisional

_ continuation

__ continuation-in-part

INVENTORSHIP IDENTIFICATION

WARNING:

If the inventors are each not the inventors of all of the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention described in

Sent By: O'BANION & RITCHEY;

SPECIFICATION IDENTIFICATION

	3F CONTOATION	DENTI ICATIO	•		
[] the specification filed t	nerewith by the above-nam	ed inventors, with	the title lis	ted above.	
[] the specification filed h	nerewith by the above-nam	ed inventors, with	the title lis	ted above, ar	nd which
was amended by the F	Preliminary Amendment file	ed herewith.			
[] the specification identi	fied above, as amended by	the Preliminary A	mendmen	it filed herewi	th.
[X] the specification ident	ified above, and which was	amended on	N/A		
[] PCT International App	lication No.	filed on		, and ar	mended
under PCT Article 19	on (if a	oplicable).			
ACKNOW	LEDGMENT OF REVIEW	OF PAPERS AND	DUTY OF	CANDOR	
I hereby state that I have i	reviewed and understand t	ne contents of the	above-ide	ntified specifi	cation,
	mended by any amendmer				
I acknowledge the duty to 1.56.	disclose information which	is material to pat	entability a	s defined in 3	7 C.F.R. §
	PRIORITY CLAIM (3	85 U.S.C. § 119(a))-(d))		
I hereby claim foreign pric	ority benefits under 35 U.S.	C. §§ 119(a)-(d) o	f any foreig	gn application	(s) for
patent or inventor's certific	cate or under 35 U.S.C. § 3	66(b) of any PCT	internation	nal application	1(8)
designating at least one c	ountry other than the Unite	d States of Ameri	ca listed be	elow, and hav	e also
identified below any foreig	n application(s) or invento	r's certificate or ar	ny PCT inte	ernational app	lication(s)
designating at least one of	country other than the Unite	d States of Ameri	ca filed by	me on the sa	me subject
matter having a filing date	before that of the applicat	ion(s) of which pri	ority is clai	med.	
<u>.X.</u> r	o such applications have t	een filed.			
s	such application have been	filed as follows.			
PRIOR FOREIGN/PCT APPLIC	CATION(S) FILED WITHIN 12 MC AND ANY PRIORITY CLAIMS			PRIOR TO THIS	APPLICATION
COUNTRY (OR	APPLICATION	FILING D	ATE	-	CLAIMED
INDICATE IF PCT)	NUMBER	(day, month	, year)	UNDER 37	U.S.C. § 119 NO
				YES	_ NO
				YES	NO
				— TES	NO
	I	1		1 153	NO

Sent By: O'BANION & RITCHEY;

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional applications (s) listed below:

PROVISIONAL APPLICATION SERIAL NUMBER FILING DATE OF PROVISIONAL APPLICATION

60/224,730	AUGUST 11, 2000

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATIONS UNDER 35 U.S.C. 120

(complete this part only if this is a divisional, continuation, CIP or national stage oFCT)

I/We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose Information which is material to patentability under 37 C.F.R.§ 1.56, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

1. U.S. Applications:

SERIAL NUMBER		FILING DATE	
<u> </u>			
2. PCT Applications Designati	ing The U.S.		
PCT APPLICATION NUMBER	PCT FILING DATE	U.S. SERIAL NUMBER	

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

John P. O'Banion James M. Ritchey Steven L. Smith Rodger H. Rast

Registration No. 33,201 Registration No. 32,594 Registration No. 44,343 Registration No. 45,853

Attached as part of this declaration and power of attorney is the authorization of the above-named attorney to accept and follow instructions from my representative(s).

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DIRECT TELEPHONE CALLS TO:

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John P. O'Banion (916) 498-1010

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

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CHECK PROPER BOX(ES	S) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH FORM A PAR' OF THIS DECLARATION
_ Signature N	for third and subsequent joint inventors. umber of pages added
incapacita	by administrator(trix), executor(trix) or legal representative for deceased or led inventor. umber of pages added
under 37	for inventor who refuses to sign or cannot be reached by person authorized CFR 1.47. umber of pages added
represent	by one joint inventor on behalf of deceased inventor(s) where legal ative cannot be appointed in time under 37 CFR 1.47. umber of pages added
Authoriza	tion of attorney(s) to accept and follow instructions from representative.
	X This Declaration ends with this page.